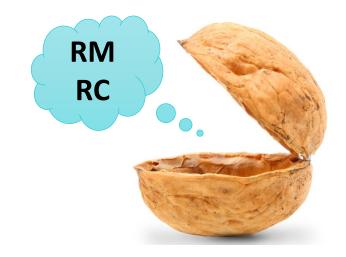
Risk Management & Risk Communication in a Nutshell



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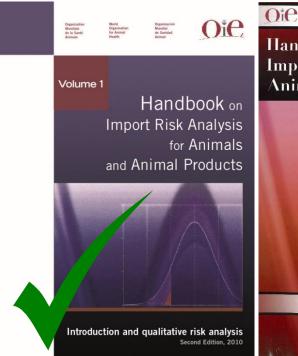
City University of Hong Kong

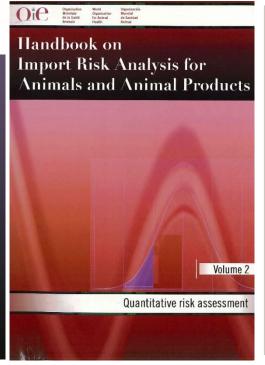
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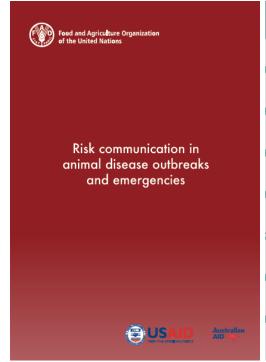
Key references



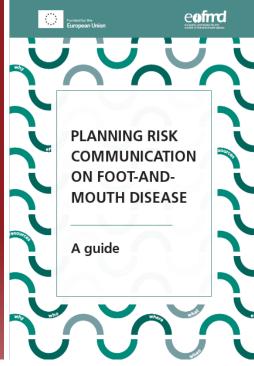




https://www.oie.int/fileadmin/home/eng/Media Center/docs/pdf/EN Guide de Communication FINAL.pdf



http://www.fao.org/3/ca7561en/CA7561EN.pdf



https://www.eufmd.info/getprepared





Outline

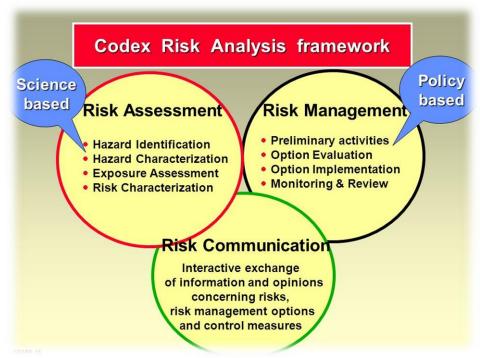
- Risk analysis process (risk governance)
- Overview of risk management (RM)
 - Risk evaluation
 - Option evaluation
 - Implementation
 - Monitoring & review
- Overview of risk communication (RC)
 - Who is involved
 - Goals
 - Developing plan
 - Explaining results
 - Barriers
- Take-home messages



Q1 (reminder)

Which of the following is **not** a main component of the risk analysis process (OIE framework)?

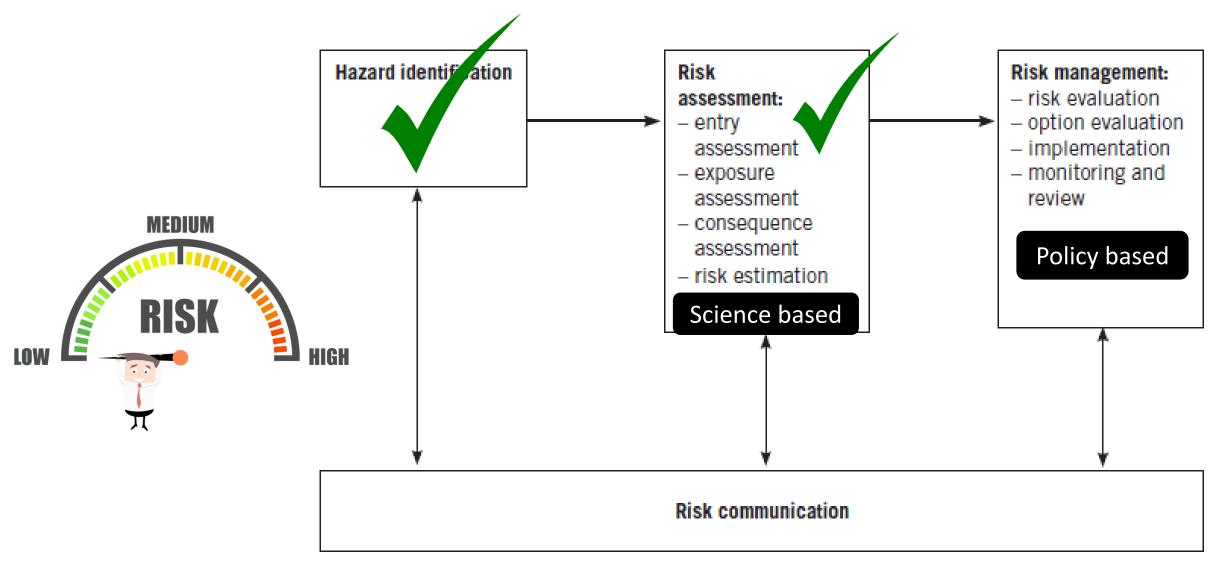
- 1. Hazard identification
- 2. Risk assessment
- 3. Risk characterization
- 4. Risk management
- 5. Risk communication



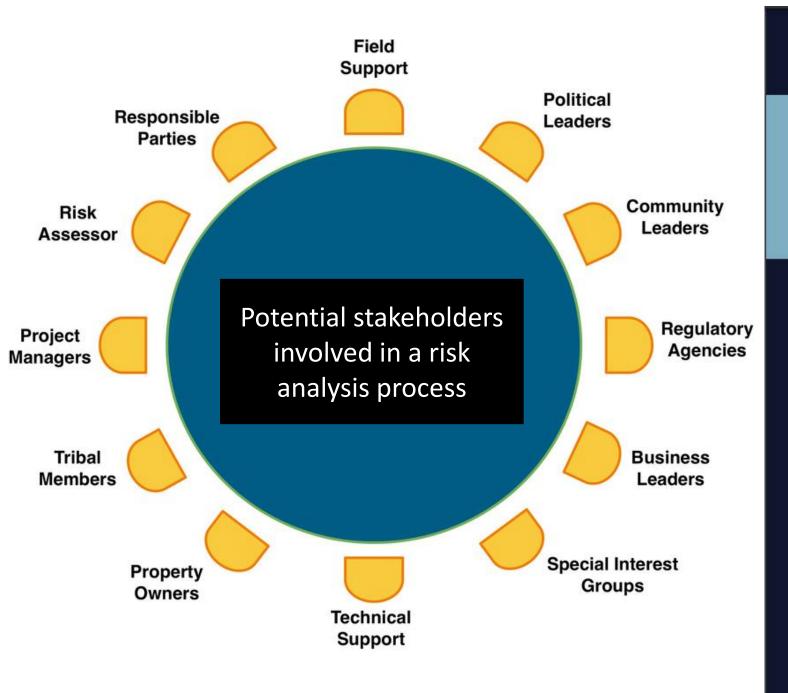




Risk analysis process (risk governance)



Source: OIE Handbook on Import Risk Analysis, 2010





African swine fever (ASF) is not a danger to humans but kills domestic and wild pigs. There is no vaccine

Carelessness can spread the disease.

Respect general



Declare any suspicious case (dead or alive) to the Veterinary Services



Do not carry pigs or pork products. If you do, declare them to the authorities



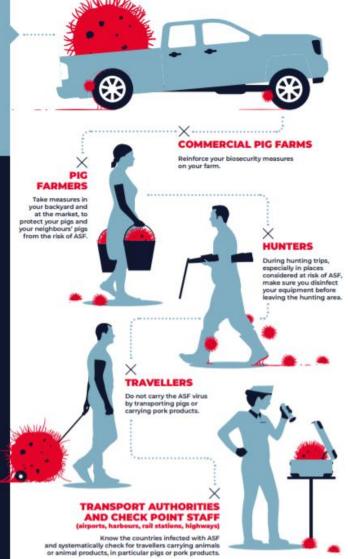
When working in or visiting farms, respect biosecurity measures



Do not visit pig farms in affected areas

AFRICAN SWINE FEVER





www.oie.int/asf



WORLD ORGANISATION FOR ANIMAL HEALTH Protecting animals, preserving our future

Risk Management

- What is risk management (RM)?
- Four steps in RM
 - Risk evaluation
 - Option evaluation
 - Implementation
 - Monitoring & review

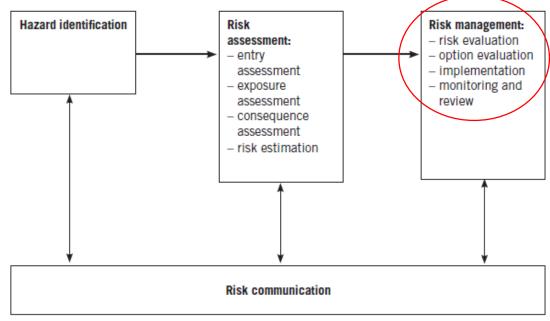






What is risk management (RM)?

- RM is the stage in which control/mitigation measures are decided upon & implemented by 'decision makers'
- RM in
 - Import risk assessment (trade)
 - Animal health/disease management
- RM includes 4 steps
 - Risk evaluation
 - Option evaluation
 - Implementation
 - Monitoring & review



Source: OIE Handbook on Import Risk Analysis, 2010







RISK ANALYSIS



RISK MANAGEMENT

Are sanitary/control measures warranted?

- Justified if the risk estimate is non-negligible (> "acceptable level")
- Import risk assessment
 - No import requirements for diseases present in the importing country, unless that disease has an official control program
 - Based on international standards if exist (OIE Code)
 - A higher level of protection should be based on RA
- Animal disease management
 - Decision criteria could be based on cost-benefit analysis, regulations, stakeholder needs, etc.
- If significant uncertainty, a precautionary approach may be adopted
 - Based on the RA & available knowledge (e.g., COVID-19)
 - Review once additional info becomes available

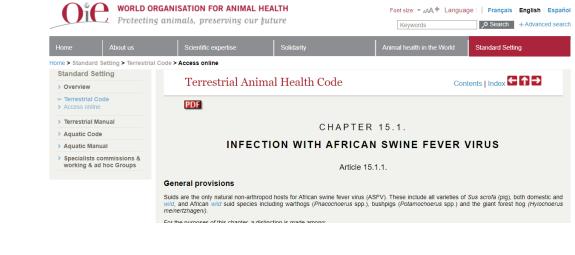




OIE Terrestrial Code, Chapter 15.1 (ASF)



• Article 15.1.9.



Recommendations for importation from countries or zones not free from ASF

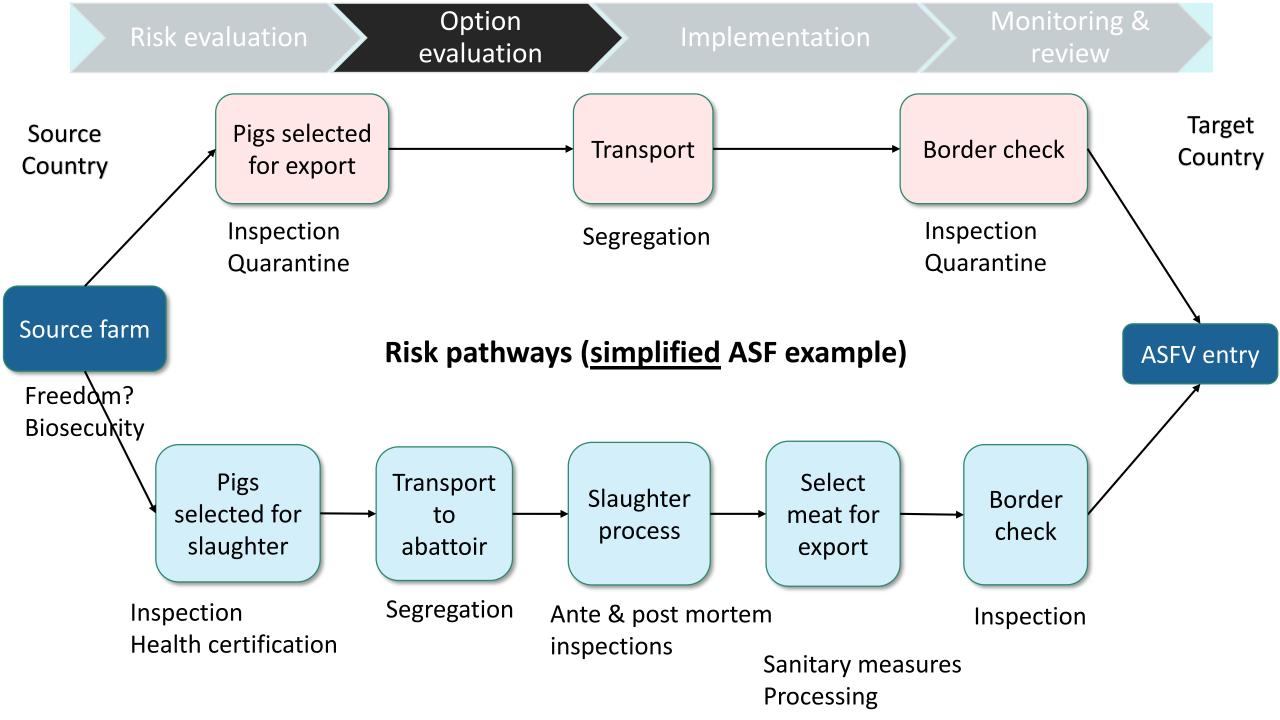
For domestic and captive wild pigs

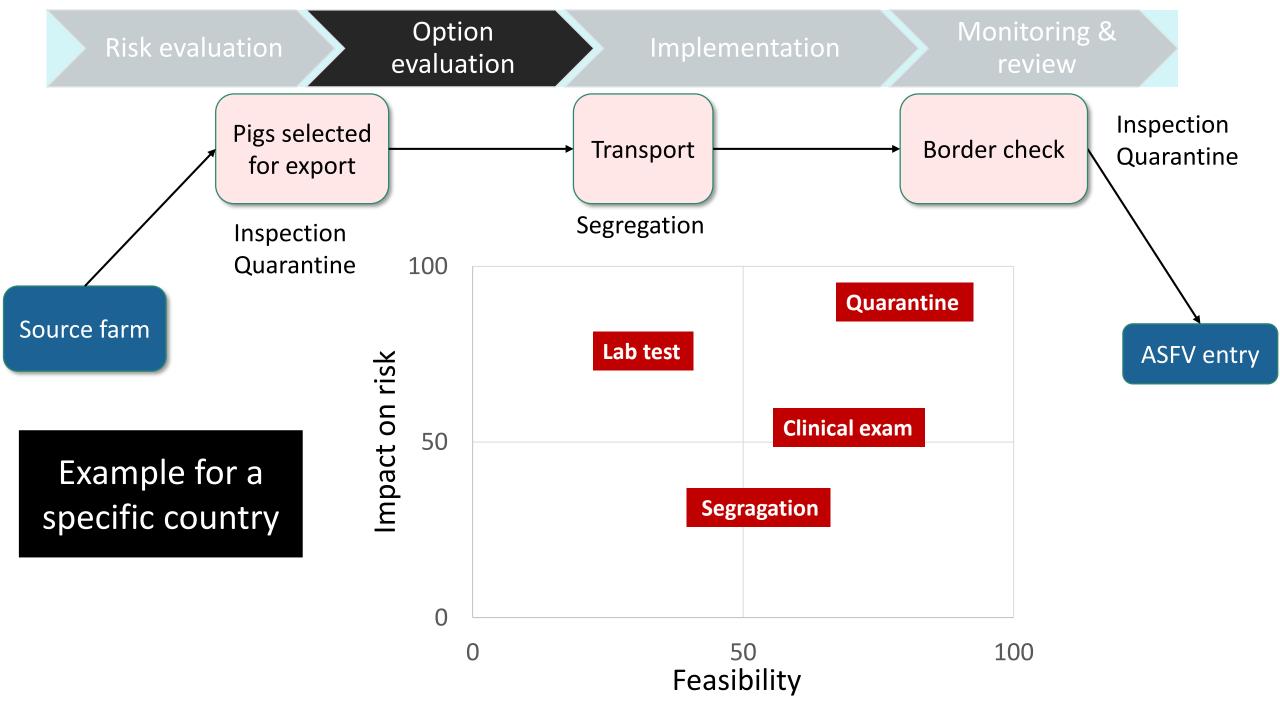
- <u>Veterinary Authorities</u> should require the presentation of an <u>international veterinary certificate</u> attesting that the animals:
- showed no clinical sign of ASF on the day of shipment;
- and either:
 - were kept since birth or for the past three months in a <u>compartment</u> free from ASF; or
 - were kept in a <u>quarantine station</u>, isolated for 30 days prior to shipment, and were subjected to a virological test and a serological test performed at least 21 days after entry into the <u>quarantine</u> <u>station</u>, with negative results.

What control measures are available & appropriate to mitigate/eliminate the risk?

- Given the "risk pathways", control measures should make sense
- For each possible option (or combination of options):
 - Evaluate the impact on the likelihood of entry, exposure, consequence
 - Ensure the feasibility
 - Technically, operationally, economically
 - Acceptable among stakeholders (compliance)





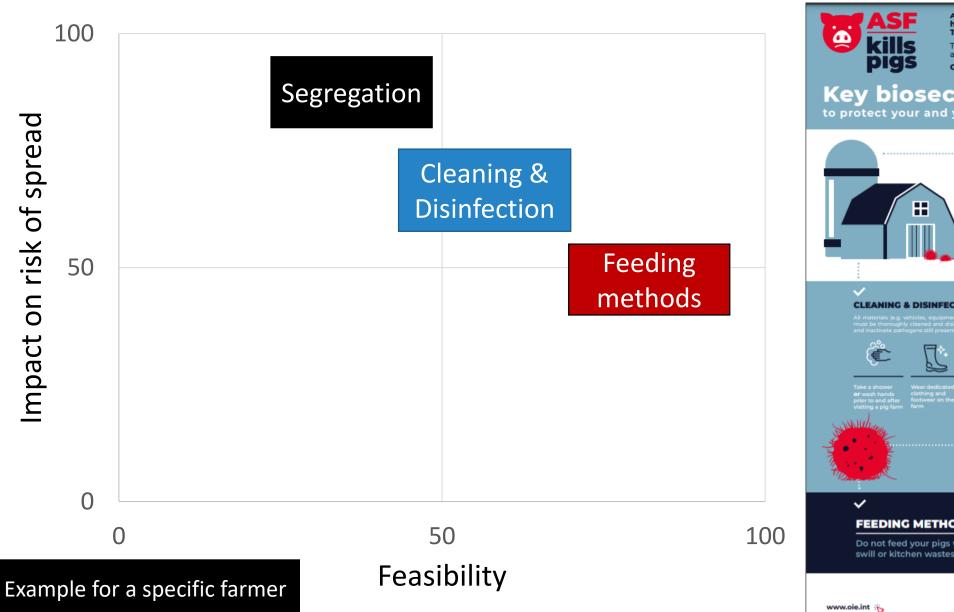


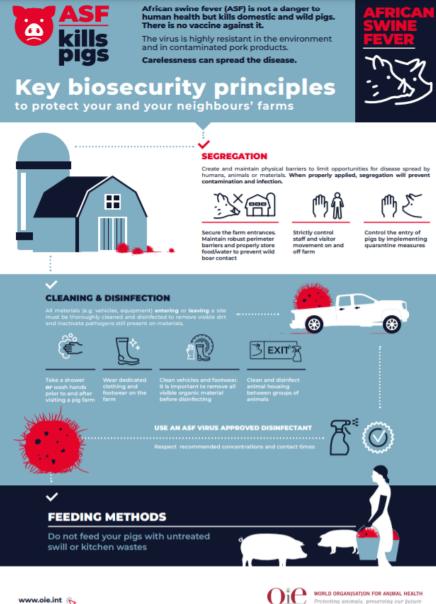
Risk evaluation

Option evaluation

Implementation

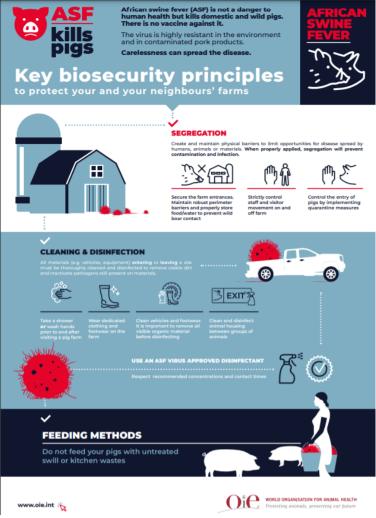
Monitoring & review





How should the chosen control measures/options be addressed? Who is responsible for this?

- After the option/s evaluated & decided upon by Competent Authorities
- Policy & operational guidance (SOPs) may be developed by different groups (e.g., central vs. district)
- Actual implementation may be done by:
 - Veterinary services
 - Other public bodies (e.g., border control)
 - Private stakeholders
 - Or a combination of all
- Maintain records & checks (for the next step)



- Monitoring (ongoing data collection)
 - Are the control measures being implemented as planned?
 - What are the results of these activities?
- Review/Evaluation (periodic assessment; every 1-3 years)
 - Are the implemented measures mitigating the risk as expected?
- Regularly measure & check your defined indicators:
 - Show progress when things are working well
 - Early warning when things go wrong
- Indicators
 - Quantitative (morbidity & mortality measures)
 - Qualitative (level of awareness/biosecurity)
 - Clear plan (targets)



Q2 (RM)

Which of the following is <u>not</u> a step of Risk Management?

- 1. Risk estimation
- 2. Risk evaluation
- 3. Option evaluation
- 4. Implementation
- 5. Monitoring & review





Risk Communication (RC)

- What is risk communication (RC)?
- Goals of a RC plan
- Who is involved in RC?
- Important factors in developing a RC plan
- Appropriate content & message
- Barriers to effective RC







Q3 (RC)

Which one is a better fit for the definition of RC?

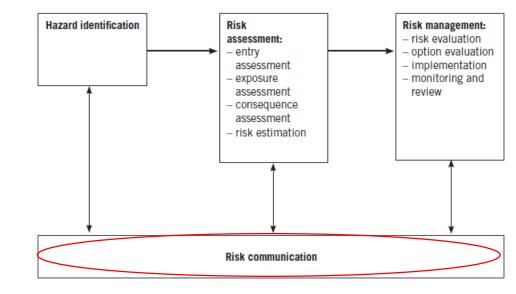
- 1. Transparent exchange of information between risk assessors & risk managers
- 2. Transparent exchange of information from risk managers to their subordinates regarding risk mitigation decisions
- 3. Transparent exchange of information from risk managers to all interested parties (/stakeholders)
- 4. Transparent exchange of information among all parties involved in the process & stakeholders





What is Risk Communication (RC)?

- RC in
 - Import risk assessment (trade)
 - Animal health/disease management



- RC is a process involving an open, interactive, iterative, & transparent exchange of info on hazards & their associated risks, together with proposed mitigation measures among risk assessors, managers, and all stakeholders
- Competent authorities (national risk managers) should plan the process
- Even when a full risk analysis is not possible, veterinary & other authorities are recommended to adopt a coordinated approach to communication planning
- There are several models/tools for planning RC in various contexts

Goals of an effective RC plan



- Exchange information freely
- Maximize the effectiveness & efficiency of the risk analysis process
- Provide info that is meaningful, accurate, clear, & targeted to specific stakeholder groups (STs)
- Promote awareness & understanding of specific issues
- Promote consistency & transparency in making & implementing RM decisions
- Provide STs with assurance that their legitimate concerns will be addressed timely feedback
- Strengthen working relationships & mutual respect among all STs
- Enhance public trust & confidence in the safety of (imported) commodities

Q4 (RC)

Ideally, when should the risk communication planning begin?

- 1. At the start of risk analysis process
- 2. Immediately after completion of the risk assessment
- 3. After proper risk management options have been identified and implemented
- 4. At the end of risk analysis process







Who is involved in RC process? (stakeholders)

- Competent authority (CA)
 - Developing & implementing the RC strategy
- International organizations
 - o e.g., OIE
- Importers & exporters
 - Important sources of info for RA & RM steps
- Producer, farmer & consumer organisations
 - Disseminating info & presenting the concerns & opinions of their members to CA
- Academic & scientific institutions
 - Expertise in all steps & advise on comm. approaches to CA
- Media
 - Training in media skills is vital





Developing a RC strategy

Communication models

To guide us through developing an effective RC plan

Identifying stakeholders (ST)

- Often, CA identifies them
- \circ "who shoulders the risks & who reaps the benefits?"

2. Providing STs with the opportunity to participate

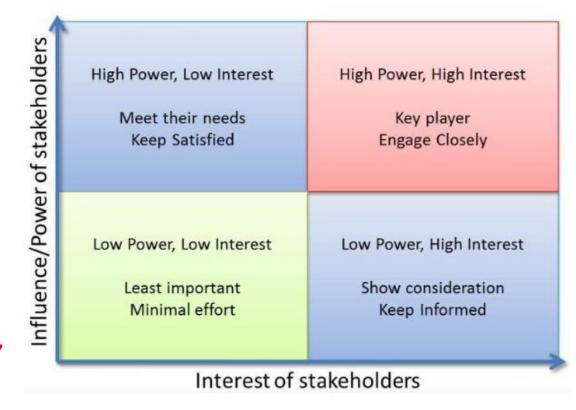
Cost-effective means of comm. (mail, web, press, meeting, etc.)

Providing information to STs

The nature & type of info provided to different STs is likely to vary

4. Establishing expertise in RC

- Successful RC requires skills that facilitate interaction with all STs
- Suitable messages for specific ST groups



Explaining the results (message)

Three types of report to target different audiences (based on their needs & level of understanding):

1) A full & detailed report targeted at other analysts

- Full text of study, refs, data, & conclusions
- To be reproducible

2) An executive summary targeted at decision-makers

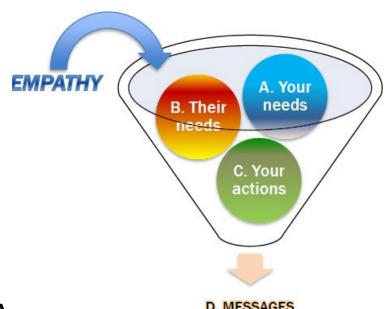
Most important aspects of the RA & recommendations

3) A report targeted at the general public (& other stakeholders)

Text must be clear, concise, & comprehensible to any reasonably educated lay person

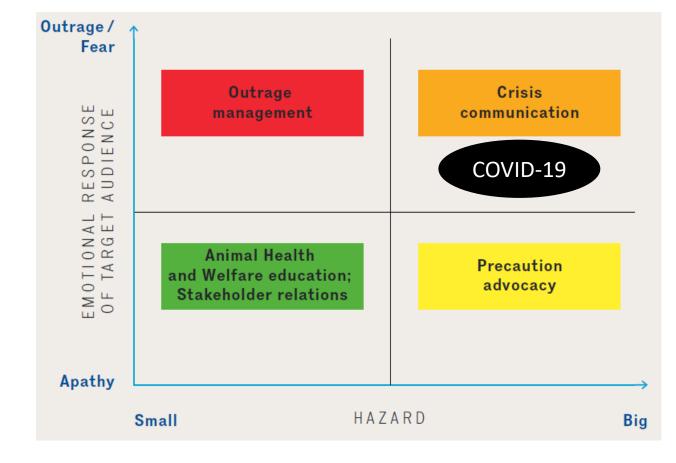
Even the best-crafted message is useless if it fails to reach the intended audience!

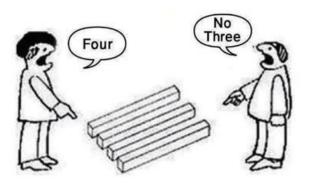




Barriers to effective RC

- Lack of credibility
 - Trust is easy to be lost
 - Regaining that will be very difficult
- Lack of participation
 - High cost
 - Limited expertise & capacities
 - Confidentiality
- Differences in "risk perception"
 - Stakeholder's risk perception determines the communication task
 - Risk perception = Hazard x Outrage
 - Hazard: "how much harm [the risk is] likely to do"
 - Outrage: "how upset it's likely to make people"

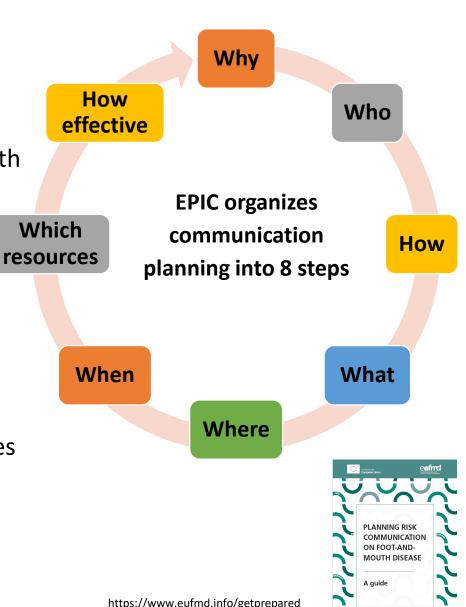




Example: EPIC Model for RC

- Emotional, Participatory, Imperfect, Continuous (EPIC) approach
 - Peacetime & emergency
 - By Cortney Price adapted to animal health RM in collaboration with FAO
- Objective: to develop & plan effective RC strategies
 - Right message to right person at right time!
- Under EPIC
 - Readers learn to develop their own, contextually appropriate messages, → more impactful comm. initiatives
 - Communication does not change behaviour; it creates opportunities for people to learn from one another
 - Fundamental question: "How can I facilitate conversations that promote collaboration on risk reduction?"

A dialogue aimed at developing shared perceptions



Q5

In your opinion, what is the most important step when conducting a full risk analysis?

- 1. Framing the risk question
- 2. Determining the scope of risk analysis
- 3. Conducting the risk assessment
- 4. Identifying appropriate mitigation measures to address the risk
- 5. Communicating the results with the relevant stakeholders





Take-home messages

- All components of a risk analysis process are ~equally important
- Risk evaluation focusses on interpretation of RA outcome & informs the RM options
- RM options must be feasible & impactful in mitigating the risk (sustainable options)
- Effective RC is the key to effective/successful RA & RM
 - Avoiding communication is impossible
 - Identify your key stakeholders & engage them ASAP
 - Always plan RC in advance
 - Communication officers may be needed!



Questions?





